

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sarat C. Sankaran et al.

Title: INTERACTIVE METHOD AND APPARATUS FOR REAL-TIME FINANCIAL PLANNING

Docket No.: 1285.013US1  
Filed: March 13, 2001  
Examiner: Virpi Kanervo  
Customer No.: 21186

Serial No.: 09/804,851  
Group Art Unit: 3691  
Confirmation No.: 2552

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

X Amendment and Response under 37 C.F.R. § 41.33(b)(1) (10 pgs.)

Please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.  
Customer No.: 21186

By: / [Signature] /  
John I. Fischer  
Reg. No. 60,900

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being filed using the USPTO's electronic filing system EFS-Web, and is addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 30 day of December, 2009.

Nicole Jack

\_\_\_\_\_  
Name

/ [Signature] /  
Signature